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United Nations Development Programme

Tanzania-Zanzibar

Project Initiation Plan (PIP)

Project Title:	Blue Economy Preparatory Initiative
Expected UNDAF/CP Outcome(s):	
Expected CPD Output(s):	Relevant ministries and districts are able to formulate, implement and enforce environmental and natural resources management policies, strategies, and regulations
Initiation Plan Start/End Dates:	March 1, 2022
Initiation Plan End Date:	August 31, 2023
Implementing Partner:	Ministry of Blue Economy and Fisheries (MoBEF), Zanzibar

Brief Description

The Revolutionary Government of Zanzibar (RGoZ) is currently embarking on mainstreaming integrated ocean governance and Marine Spatial Planning (MSP). The use of MSP is seen as the main overarching tool to reach sustainability, inclusivity, and equity across the blue economy sectors in the coastal and marine realm. The Ministry of Blue Economy and Fisheries (MoBEF) is currently engaged in the development of MSP process that is aimed at addressing and cross-sectoral integration in the ocean-based economies. MSP will contribute to incentivise donor and stakeholder support and is important in fostering enhanced coordination in Blue Economy between all key stakeholders – in public and private sectors. The MSP initiative will also create an opportunity to implement the policy and strategic blueprints on Blue Economy such as Zanzibar Development Vision 2050, the Zanzibar Development Plan, the ruling Party Election Manifesto on Blue Economy, and other commitments, including those designed to address Environment, climate change and the SDGs. This PIP is designed to guide the implementation of the initial MSP activities as well as guide the designing of the new full-fledged Blue Economy Programme to be implemented in collaboration with various partners and relevant government stakeholders.

Programme Period:	18 months
Atlas Project Number:	00142599
Atlas Output ID:	00130793
Gender Marker:	2

Total resources required	$522,159.00
Total allocated resources:	$522,159.00
• Regular	$190,000.00
• Other:	
○ Donor	$322,159.00
○ Government	$0.00
Unfunded budget:	$0.00
In-kind Contributions	$0.00

Agreed by UNDP: Christine Musisi — Resident Representative

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I. PURPOSE AND EXPECTED OUTPUT

Zanzibar has recently embraced the Blue Economy (BE) development pathway. This involves the utilisation of maritime and marine resources to support inclusive and sustainable economic growth as well as creating employment opportunities. The commitments made by the 8th Phase Administration of the Revolutionary Government of Zanzibar (RGoZ) necessitate taking the blue economy discourse to a practical intervention pathway, focusing on deepening understanding of the ocean sectors, their values and potential, science-to-policy frameworks, and governance processes.

Moreover, the Zanzibar Blue Economy Policy 2020, has identified key priorities areas including tourism, fisheries, seaweed farming, maritime transportation, maritime safety and security, renewable energy, and oil and gas development. These priority areas are also aligned with a web of cross-cutting issues such as awareness, innovation, and education; community and institutional capacity building; sustainable Blue Economy financing; women and youth empowerment; poverty alleviation; private sector engagement; biodiversity conservation and Climate Change Adaptation.

The Government is also currently embarking on mainstreaming integrated ocean governance and marine spatial planning (MSP). The use of MSP is seen as the main overarching tool to reach sustainability, inclusivity, and equity across the blue economy sectors in the coastal and marine realm. The Ministry of Blue Economy and Fisheries is currently engaged in the development of MSP process that is aimed at addressing and cross-sectoral integration in the ocean-based economies. MSP will contribute to incentivise donor and stakeholder support and is important in fostering enhanced coordination in Blue Economy between all key stakeholders – in public and private sectors.

The MSP initiative will also create an opportunity to implement the policy and strategic blueprints on Blue Economy such as Zanzibar Development Vision 2050, the Medium-Term Development Strategy, and other commitments, including those designed to address Environment, Climate Change, the SDGs, etc.

In 2016, The Government of Finland, through its ICI Project funded the pilot MSP project in the Kiwengwa-Mnemba island corridor. The project was called as “Marine Spatial Data Infrastructure (MSDI) Integrated Coastal Zone Management (ICZM) and Maritime Spatial Planning (MSP) in Zanzibar”. Moreover, in 2017, the Institute of Advanced Sustainability Studies (IASS) along with the Institute for Sustainable Development and International Relations (IDDRI), under the Partnership for Regional Ocean Governance (PROG) support, jointly undertook a scoping study on integrated ocean governance in Zanzibar and in Tanzania as a whole. This study was further supported a by a regional initiative UNEP Nairobi Convention through a joint work carried out by the Western Indian Ocean Marine Science (WIOMSA) and the Centre for Scientific and Industrial Research (CSIR) of South Africa. To promote the implementation of the MSP process in Tanzania, in 2019 the Ministry of Environment of Germany, through its International Climate Initiative (IKI) began to fund the MSP coping process for Tanzania, Kenya and Mauritius. In Tanzania, the initial process of scoping and awareness on MSP was carried out. No further action has been taken since then.

The objective of this pilot initiative is to support the engagement of stakeholders in the implementation of a participatory MSP process that is aimed at addressing cross-sectoral integration in the ocean-based economies, and to design programmatic activities to support implementation of the BE priorities. The initiative will provide MoBEF with a roadmap of strategies, activities, and pathways to benefit people, enhance conservation of the ocean and increase efforts in climate adaptation. The specific objectives of initiative are orientated around the following elements:

- Awareness and understanding of the MSP process and the methodologies to implement the process.
- Enhancing institutional capacity to develop skilled MSP experts in Zanzibar.
- Development and conservation of the planning area including existing data and information and General Management Plans (economic, social, environmental, biodiversity, climate related).
- Developing maps and key data such as GIS mapping on tourism and environmental conservation.

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- Creating enabling environment (financial, governance, infrastructure) for government, private sector, and civil society to manage and protect the marine and associated terrestrial assets and help make them resilient to economic and climatic shocks.
- Reviewing, updating, and consolidating Legal and Regulatory Framework governing the Blue Economy and related.
- Promoting and supporting new actions and project proposals related to the planning area.
- Designing and implementing a full-fledged BE Programme for Zanzibar to promote the implementation of the BE policy.

The MSP initiative will result in the following desirable outcomes:

- Enhanced understanding of the conservation objectives for habitats, species, and protection of marine resources.
- Improved knowledge of the opportunities of livelihood options through proper planning of the use of the marine resources by various groups including women and youth.
- Improved protection of cultural and inclusive heritage of local communities by developing MSP in accordance with local values.
- Enhanced marine and maritime governance in partnership with private sector through community-led management initiatives.
- Strengthened legal and regulatory framework in line with national and international standards.
- Identified funding opportunities in the MSP process in the medium to longer term.
- Developed synergies with other task forces, initiatives, and projects in the region in the MSP process.
- Demonstrated sustainable seaweed and artisanal fishing practices, and improved fishing technologies (including for post-harvest) to enhance livelihood.

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II. MANAGEMENT ARRANGEMENTS

This proposal is therefore directed to the implementation of a pilot Marine Spatial Planning in Zanzibar that will serve as the first key step towards future Marine Spatial Planning process within the national plan for a sustainable blue economy. Lessons learnt from the pilot phase should be incorporated into future iterations of the plan. In this context, the Ministry of Blue Economy and Fisheries will facilitate and oversee the implementation of a Pilot MSP Phase for Zanzibar within the framework of the agreed project support conditions. The Pilot MSP initiative is expected to lead to more comprehensive MSP process across the archipelago in the short to medium term through a multi-stakeholder's approach. Several studies on MSP and Ocean Governance in Zanzibar and the region have already been undertaken by various actors to address the urgent need for the implementation of the full MSP process.

This is a joint project of UNDP, UNEP and UNWOMEN with a role of the Resident Coordinator's Office (RCO) in supporting coordination of UN engagement in developing future strategic and programmatic actions on MSP. UNDP will be the lead Implementing agency for the PIP through a Direct Implementation Modality (DIM). The Principal Execution Authority for the implementation of the Project will be the Ministry of Blue Economy and Fisheries in collaboration with other lead implementing institutions in Blue Economy sectors such as Tourism, Maritime Affairs, and Energy, etc.

The Ministry of Blue Economy and Fisheries will be responsible for coordinating the implementation of the project on the ground by facilitating the participation of all key stakeholders. There will be an internally sourced (from within the Government) project coordinator to run day to day activities of the project. Department of Blue Economy Coordination and Department of Marine Conservation Areas, supported by other Ministry partners such as Department of Fisheries and Aquaculture, Zanzibar Fisheries and Marine Resources Research Institute, Zanzibar Petroleum Regulatory Authority, etc. will play a lead role in organizing the stakeholder and community consultations and in capacity, communications, awareness, and outreach. The MoBEF will also collaborate with Department of Environment, Zanzibar Maritime Authority, Zanzibar Ports Corporation, Attorney General Chambers, and other relevant institutions in Zanzibar and elsewhere.

The Ministry of Blue Economy and Fisheries shall also implement operational responsibilities of the project. The implementation of the proposed project on a regular basis will be operationalized under Blue Economy Coordination Unit approach. Non-Governmental Organizations (NGOs) which are already operating around blue economy and marine spatial planning such as WIOMSA, TNC, WWF, etc will aid in the dissemination and promotion of the proposed project.

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III. MONITORING

The objective of monitoring and evaluation (M&E) is to assess project performance and impact. The monitoring of this project will be organized according to the project reporting schedule. Monitoring of the implementation and evaluation of the efficiency and impact of activities will be the responsibility MoBEF. Progress Reports shall be submitted to the Project Management Unit (PMU). These reports shall contain a summary of progress achieved since the previous report; and detail any foreseen impediments to Project implementation and actions taken and/or proposed for their remediation.

The Annual Report will describe the achievements of objectives and outputs of the project, as defined in the Project log frame. At half-yearly intervals, the Quarterly Progress Reports shall be accompanied by Expenditure Statements providing up-to-date financial information. A project evaluation will be conducted at the half-way point (Mid-term Review) as a well as at the end/terminal point (Terminal Evaluation) of the project implementation. The Mid-term Review will be undertaken jointly by the MoBEF and UNDP and the Project implementation team, where needed assisted by an independent consultant. The Terminal Evaluation will be conducted by an independent Consultant, appointed by the MoBEF PMU. A Final Report shall be submitted to the PMU within 90 days of the completion of the Project. The Final Report shall provide the basis for the independent Terminal Evaluation. Monitoring Indicators are detailed in the Monitoring Plan.

Project Goal/ principal Objective	**To support the engagement of relevant stakeholders in the implementation of a participatory and inclusive (gender based) MSP process that is aimed at addressing cross-sectoral integration in the ocean-based economies.**
Outcome 1	**Awareness raising and institutional capacity building on Marine Spatial Planning (Agencies: UNDP, UNEP)**
Activity 1.1	Finalization of the review of the Zanzibar Blue Economy Policy and its Implementation Plan to guide the other processes including the Marine Spatial Plan
Activity 1.2	Communications and dissemination action for all stakeholders on national, regional, and global processes towards Blue Economy/MSP.
Activity 1.3	Capacity Building of public, private sector and other stakeholders on and sustainable blue economy and MSP processes (Unguja and Pemba).
Outcome 2	**Inter-sectoral cooperation on developing harmonized strategic frameworks in the field of MSP (Agencies UNDP/UNWOMEN)**
Activity 2.1	Organize a strategic stakeholder BE meeting to identify synergy and explore collaborations and partnership in MSP and other priorities.
Activity 2.2	Support the mobilization of the role and activities of the national blue economy committee on integrating Blue Economy and MSP.
Activity 2.3	Support MSP development processes by a social, economic and environmental baseline from sectoral policy, strategic, planning, legal and institutional aspects.
Activity 2.4	Enhance strategic and implementation partnerships in MSP management, Oil and Gas, Aquaculture, Fisheries, Maritime Transport and Integrated Coastal Zone Management and MSP with public, private sectors and NGOs.
Outcome 3	**Future Strategic and programmatic actions on MSP achieved (Collaborating Agencies: UNDP, UNEP, RCO)**
Activity 3.1	Taking stock of inter-sectoral analysis of existing BE/OG processes and assessment for future strategic policy options.
Activity 3.2	Support national Marine Spatial Planning (MSP) processes and initiatives for integrated development planning and Blue Economy.
Activity 3.3	Support UN inter-agency collaboration with government in design of future strategic and programmatic actions - Support UN inter-agency collaboration with government in design of future strategic and programmatic actions – designing of the full-fledged Blue Economy Programme for Zanzibar.
Project Management	**Project workshops, Project Terminal Evaluation, Printing and Publications, Travels and Missions, ICT equipment**

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IV. WORK PLAN

	Indicator	Baseline	Targets End of Project	Source of Verification	Risks	Assumptions	Timeframe				RP	Budget (US$)		
Objective	**To support the engagement of relevant stakeholders in the implementation of a participatory and inclusive (gender based) MSP process that is aimed at addressing cross-sectoral integration in the ocean-based economies.**													
Outcome 1	**Awareness raising and institutional capacity building on Marine Spatial Planning (Agencies: UNDP, UNEP)**						**Q 1**	**Q 2**	**Q 3**	**Q 4**		**Source**	**Description**	**Amount**
Activity 1.1 Finalization of the review of the Zanzibar Blue Economy Policy and its Implementation Plan to guide the other processes including the Marine Spatial Plan	New approved Zanzibar BE Policy, 2022 document	Zanzibar BE Policy 2020 in place.	New approved Zanzibar BE Policy, 2022 document developed.	MoBEF reports, PIP progress reports.	Approval of the new policy may take longer than expected.	Availability of experts to lead the policy review process.								20,000
Activity 1.2 Communications and dissemination action for all stakeholders on national, regional, and global processes towards Blue Economy/MSP	1. Number of stakeholders reached with information on MSP. Participants disaggregated by gender. 2. Communication	There are general awareness interventions in the Blue Economy process in Zanzibar. However, the message has not yet been integrated across the sectors and this is due to the absence of	1. 200 stakeholders reached with information on MSP. Participants disaggregated by gender. 2. 20 Communication materials developed, printed, distributed,	1. Flyers, Brochures, tools, and Prints. 2. Audio-Visual Messaging platforms. 3. Online networking platforms. 4. Workshop sign-in sheets	1. Minimal or differing Institutional or sectoral priorities. 2. Differing interpretations of the BE/OG goals across sectors. 3. Lack of policy urgency in	1. Availability of sufficient financial, technical, and human resources for the communications packages and workshops. 2. Across-the-board Nature of BE/OG information								40,000

	materials developed, printed, distributed, and disseminated.	a unified/harmonized policy approach in Ocean Governance.	and disseminated.		mainstreaming the BE/OG process. 4. No unified / harmonized policy or strategy approach to communicating with stakeholders.	disseminated and better information shared 3. Stakeholders want to engage. 4. Ongoing government support.								
Activity 1.3 Capacity Building of public, private sector and other stakeholders on and sustainable blue economy and MSP processes (Unguja and Pemba)**.**	1. Number of capacity building and training activities implemented. 2. Number of stakeholders participating in the capacity building activities. Disaggregated by gender.	There is inadequate level of integrated institutional capacity building processes nationally and that most of the capacity access is at the regional or global level with limited access and outreach.	1. 11 capacity building and training activities implemented. 2. 200 stakeholders participating in the capacity building activities. Disaggregated by gender. 3. 15 tools and materials localized (including through translation to Kiswahili) for mainstreaming guidelines.	1. Capacity Building activity reports. 2. Capacity Building materials. 3. Production of localized tools and materials for mainstreaming the Guidelines.	1. Minimal or differing institutional or sectoral capacity priorities. 2. The tools and materials to support capacity building and mainstreaming guidelines are not available in Kiswahili.	1. The tools and materials to support mainstreaming guidelines are available in Kiswahili. 2. Sufficient political will, readiness, cooperation, and resources are allocated in the project.								72,000

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	3. Number of tools and materials localized (including through translation to Kiswahili) for mainstreaming guidelines).													
Outcome 2	**Inter-sectoral cooperation on developing harmonized strategic frameworks in the field of MSP (Agencies UNDP/UNWOMEN)**													
Activity 2.1 Organize a strategic stakeholder BE meeting to identify synergy and explore collaborations and partnership in MSP and other priorities.	Strategic meeting conducted with meeting proceedings and deliberations.	Several meetings held in 2021 to build framework for donor support in Zanzibar on BE, and secure political buy in from the government and all stakeholders.	At least 3 partnerships with stakeholders in BE and MSP established.	PIP progress reports.	Stakeholders might not be in position to create partnership due to funding constraints.	Government will provide leadership and all key stakeholders in the BE are interested to collaborate and partners with UNDP and the government.								15,000
Activity 2.2 Support the mobilization of the role and activities of the national blue economy	Number of times the MoBEF Committee meets	The Committee has met twice since its inception following the World Sustainable	1. Quarterly meetings of the MoBEF Committee 2. 10 national recommendations	1. MBEF Meetings and Communications. 2. NBEC Reports.	Frequency of MoBEF meetings, interaction and activities limited by	1. Consensus between national sectoral institutions and their participation								40,000

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committee on integrating Blue Economy and MSP.	Number of national recommendations the MoBEF Committee implements.	Blue Economy Conference in Nairobi, 2018. Financial resources limit the convening of and engagement in all stakeholders related to BE/OG.	implemented by the MoBEF Committee.		financial resources.	at the national blue economy committee. 2. Political commitment to the national recommendations and committee meetings.								
Activity 2.3 Support MSP development processes by a social, economic and environmental baseline from sectoral policy, strategic, planning, legal and institutional aspects.	BE/OG baseline reports prepared BE/OG baseline reports disseminated through workshops, communications plan	Currently, there is no official inter-sectoral baseline assessment (social, economic, and environmental) study related to strategic approaches in embracing BE/OG. A joint baseline assessment study is needed for the country to identify national development priority options for BE.	1. 1 BE/OG baseline reports prepared. 2. 5 dissemination workshops 3. 1 communications plan	1. A joint inter-sectoral BE/OG baseline assessment report. 2. Communications and Dissemination plan. 3. Workshop sign-in sheets. .	1. Inadequate financial resources to conduct strategic research and policy dialogues 2. Low level of willingness by sectors to engage in policy dialogue and research collaboration on BE/OG.	Availability of sufficient financial, technical, and human resources for the development quality BE/OG baseline reports							100,000

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Activity 2.4 Enhance strategic and implementation partnerships in MSP management, Oil and Gas, Aquaculture, Fisheries, Maritime Transport and Integrated Coastal Zone Management and MSP with public, private sectors and NGOs.	Number of partnerships with NGOs e.g., TNC, WWF, etc coordinated.	Although the momentum on BE/OG at the national level is gaining pace, several pilot initiatives driven by the NGOs and the private sector supporting BE and MSP are being implemented. The experience from the NGOs and the Private Sector can help propel the national drive towards sustainable BE/OG forward.	5 partnership agreements signed	Partnership Reports, Workshops and Communications.	Differing implementation priorities between the public sector and the NGOs on BE/OG	Highly effective coordination is ensured through the strong involvement of NGOs.								105,000
Outcome 3	**Future Strategic and programmatic actions on MSP achieved. (Collaborating Agencies: UNDP, UNEP, RCO)**													
Activity 3.1 Taking stock of inter-sectoral analysis of existing BE/OG processes and assessment for future strategic policy options.	Stocktaking report developed and disseminated.	There aren't any commissioned studies to determine what has been done by the sectors to mainstream and implement Government's recommendations on BE/OG.	1 Stocktaking report developed and disseminated.	National BE/OG Stock-Taking Report.	Inadequate financial resources available.	Sufficient financial, technical, and human resources are available for preparation of BE/OG stocktaking report								20,000

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Activity 3.2 Support national Marine Spatial Planning (MSP) processes and initiatives for integrated development planning and Blue Economy.	Number of MSP development processes and studies prepared and disseminated.	No national MSP planning has been taken yet. There are handful of pilot studies, and these are mostly to do with coastal areas, not marine or ocean space domain. Inception work by TNC has been done but that awaits further and technical support.	20 MSP development processes and studies prepared and disseminated.	1. Policy, Legal and institutional mapping towards MSP. 2. National Planning Commissions mainstreaming MSP Processes for implementation by sectors.	Lack of political will to demarcate domain for sustainable conservation and utilization	Highly effective MSP coordination is ensured between the national Blue Economy Committee and the Sectors.							50,515
Activity 3.3 Support UN inter-agency collaboration with government in design of future strategic and programmatic actions – designing of the full-fledged Blue Economy Programme for Zanzibar.	A full-fledged BE Programme Prodoc in place.	There is currently no multi-stakeholders and multi-sectoral programme on Blue Economy in Zanzibar.	A full-fledged BE Programme Prodoc developed.	PIP progress and final reports.	Inadequate funding for the designing of the Proramme. This risk will be mitigated by involving the task team to prepare required information to be compiled by the technical expert.	Government and Development partners will continue to be interested in designing and participating in the implementation of the dedicated BE Programme.							20,000
Project Management													

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Project Workshops														60,000
Project Terminal Evaluation														10,000
Printing and Publication														10,000
Travels and Missions														48,000
ICT Equipment														15,000
MPTFO (GMS) 7% fee of donor contribution ($332,159.00)														**21,076**
TOTAL														**522,159**

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Table 2: A summary of the key overall risks of the Preparatory Initiative

Risk description	Risk assessment[1] (I = impact; P = probability)	Risk mitigation measures
Changes of interest of Development Partners and financing agencies to invest in the Blue Economy developments in Zanzibar (Financial) (The MSP process has been conducted for the first time in Zanzibar, it may be difficult to mobilize adequate resources to conduct all studies that are needed to ensure comprehensive and good quality plans are developed.	Moderate (I=4; P=3)	- Development of long-term partnerships with potential DPs. Make significant efforts to mobilize resources and implement the MSP process in collaboration with other partners. - Support the Government to mobilize internal resources for the same
High expectation from the Zanzibar community as well as from the Government which might not be met. it may take longer to achieve the results (need to manage expectation) (social/operational)	High (I=5; P=4)	Effort to set clear priorities including short-, Medium- and long-term plans and communicate them adequately to all stakeholders
High interest from Multiple players may create coordination challenges and risks of duplications (coordination/operational)	Low (I=3; P=3)	Establish a robust coordination and information sharing platform involving all key players
Quality of the MSP might be compromised as strengthening of the internal capacity for service delivery takes long (technical/operational)	Moderate (I=4; P=3)	Fast track outsourcing of key services required while strengthening the capacities of the Government at the new Ministry of Blue Economy
Generation of the adequate socio -economic, scientific data and information requires substantial time, informed by on sound baseline – (Technical)	Moderate (I=4; P=3)	- Collaborate closely with academic, research institutions and other key players in the BE ecosystem to obtain socio -economic, scientific data and information. - Prioritize data generation and baseline development at the beginning of the intervention
Ongoing impacts of COVID-19, or future outbreaks (with reintroduction of disease-transmission restrictions) may cause delays in and disruptions to implementation because of COVID-19 related health/safety issues, quarantine actions, travel restrictions, or logistical concerns (such as supply-chain interruptions)	Moderate (I=4; P=3)	Strengthening adaptive management including through the use of online tools and digital technologies

[1] Rated on a scale of 1-5, where 5 = critical and 1 = very low.